(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)


(19) World Intellectual Property Organization
   International Bureau

(43) International Publication Date
   9 August 2001 (09.08.2001)

(10) International Publication Number
   WO 01/57111 A1

(51) International Patent Classification:
   C08G 64/30

(21) International Application Number:
   PCT/US00/29019

(22) International Filing Date:
   19 October 2000 (19.10.2000)

(25) Filing Language:
   English

(26) Publication Language:
   English

(30) Priority Data:
   09/497,938 4 February 2000 (04.02.2000) US

(71) Applicant: GENERAL ELECTRIC COMPANY
   [US/US]; 1 River Road, Schenectady, NY 12345 (US).

(72) Inventors: MCLOSKY, Patrick, Joseph; 10 Meadowbrook Road, Watervliet, NY 12189 (US). BURNELL, Timothy, Brydon; 8 Reising Road, Schenectady, NY 12309 (US). SMIGELSKI, Paul, Michael, Jr.; 966 Burdecker Street, Apartment 3, Schenectady, NY 12306 (US).


Published:
   — with international search report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: SALTS OF NON-VOLATILE ACIDS AS POLYMERIZATION CATALYSTS

(57) Abstract: This invention relates to a method for the preparation of polycarbonate by the melt process comprising reacting a diphenol and a diarylecarbonate in the presence of a catalyst system comprising a catalytically effective amount of an alkali and/or alkali earth metal salt of a non volatile acid and a base.
SALTS OF NON-VOLATILE ACIDS AS POLYMERIZATION CATALYSTS

FIELD OF THE INVENTION

This invention relates to salts of nonvolatile acids useful in catalyst systems in melt polymerizations. Suitable salts of nonvolatile acids include phosphites of alkali metal compounds, phosphates of alkali metal compounds, and mixture thereof. The invention further relates to polycarbonates prepared using the catalyst systems of the present invention, and articles made from these polycarbonates.

BACKGROUND OF THE INVENTION

Conventional industrial plants synthesize polycarbonate by mixing together an aqueous solution of dihydric compound (e.g., bisphenol-A) with an organic solvent (e.g., dichloromethane) containing a carbonyl halide (e.g., phosgene) Upon mixing the immiscible organic and aqueous phases, the dihydric compound reacts with the carbonyl halide at the phase interface. Typically, a phase transfer catalyst, such as a tertiary amine, is added to the aqueous phase to enhance this reaction. This synthesis method is commonly known as the "interfacial" synthesis method for preparing polycarbonate.

The interfacial method for making polycarbonate has several inherent disadvantages. First it is a disadvantage to operate a process which requires phosgene as a reactant due to obvious safety concerns. Second it is a disadvantage to operate a process which requires using large amounts of an organic solvent because expensive precautions must be taken to guard against any adverse environmental impact. Third, the interfacial method requires a relatively large amount of equipment and capital investment. Fourth, the polycarbonate produced by the interfacial process is prone to
having inconsistent color, higher levels of particulates, and higher chlorine content, which can cause corrosion.

Some new commercial polycarbonate plants synthesize polycarbonate by a transesterification reaction whereby a diester of carbonic acid (e.g., diphenylcarbonate) is condensed with a dihydric compound (e.g., bisphenol-A). This reaction is performed without a solvent, and is driven to completion by mixing the reactants under reduced pressure and high temperature with simultaneous distillation of the phenol produced by the reaction. This synthesis technique is commonly referred to as the "melt" technique. The melt technique is superior over the interfacial technique because it does not employ phosgene, it does not require a solvent, and it uses less equipment. Moreover, the polycarbonate produced by the melt process does not contain chlorine contamination from the reactants, has lower particulate levels, and has a more consistent color. Therefore it is highly desirable to use the melt technique in a commercial manufacturing process.

In the production of polycarbonates by the melt polymerization process, alkali metal hydroxides, in particular sodium hydroxide, are used as polymerization catalysts. While alkali metal hydroxides are useful polymerization catalysts, they also effect side reactions which results in branched side reaction products. This causes changes in the melt behavior of the polycarbonate, which can lead to difficulties in processing.

It would be desirable, therefore, to develop a catalysts system which effects melt polymerization while minimizing undesirable reaction products, such as branched side reaction products.
DESCRIPTION OF THE INVENTION

The present invention addresses these concerns and provided further surprising properties.

The present invention may be understood more readily by reference to the following detailed description of preferred embodiments of the invention and the examples included therein.

Before the present compositions of matter and methods are disclosed and described, it is to be understood that this invention is not limited to specific synthetic methods or to particular formulations, as such may, of course, vary. It is also to be understood that the terminology used herein is for the purpose of describing particular embodiments only and is not intended to be limiting.

In the following specification, reference will be made to a number of terms which shall be defined to have the following meanings:

The singular forms "a", "an" and "the" include plural referents unless the context clearly dictates otherwise.

"Optional" or "optionally" means that the subsequently described event or circumstance may or may not occur, and that the description includes instances where the event occurs and instances where it does not.
As used herein, the term "melt polycarbonate" refers to a polycarbonate made by the transesterification of a carbonate diester with a dihydroxy compound.

"BPA" is herein defined as bisphenol A or 2,2-bis(4-hydroxyphenyl)propane.

"Catalyst system" as used herein refers to the catalyst or catalysts that catalyze the transesterification of the dihydric phenol and the carbonic acid diester in the melt process.

The terms "diphenol" and "dihydric phenol" as used herein are synonymous.

"Catalytically effective amount" refers to the amount of the catalyst at which catalytic performance is exhibited.

In the present invention, it was unexpectedly found that a catalyst system comprising alkali metal salts of nonvolatile acids and a base reduces side reaction products, including "Fries" product and other branched side reaction products. The reduction of these products provides the advantage of increased ductility, and prevents the reduction of rheological properties which results when undesirable side reaction products, such as Fries product, are present. It was further unexpectedly found that for a given set of conditions the catalyst systems as described in the present invention product less Fries than alkali metal hydroxides, such as sodium hydroxide.
Specifically, the present invention provides a catalyst system for the production of polycarbonate by the melt process, wherein the polycarbonate has a reduced content of undesirable branched side reaction product, in particular Fries products. It is desirable to have Fries product of less than 1000 ppm, preferably less than 900 ppm, more preferably less than 500 ppm, even more preferably less than 200 ppm.

Polycarbonate produced by the melt process typically has higher Fries content than polycarbonates produced by the interfacial method. As used herein the term “Fries” or “fries” refers to a repeating unit in polycarbonate having the following formula (I):

\[
\begin{align*}
\text{O} & \quad \text{X} & \quad \text{OH} \\
\text{C} & \quad \text{O} & \quad \text{I}
\end{align*}
\]

where the X variable represents
Variable $R_c$ and $R_d$ each independently represent a hydrogen atom or a monovalent hydrocarbon group and may form a ring structure. Variable $R_c$ is a divalent hydrocarbon group.

It is very desirable to have a low Fries content in the polycarbonate product, as Fries products reduce the performance characteristics of the polycarbonate, such as the ductility. Higher Fries contents results in lower ductility. Preparing polycarbonate by the melt process results in the formation of Fries products.

The present invention relates to melt polymerization catalysts in a melt polymerization system in which a dihydric phenol and a diester of carbonic acid are reacted. Dihydric phenols which are useful in preparing the polycarbonate of the invention may be represented by the general formula

\[
\begin{align*}
\text{HO} & \quad (\text{R}^1)_{n_1} \\
\text{HO} & \quad \text{HO}
\end{align*}
\]

\[
\begin{align*}
\text{HO} & \quad (\text{R}^1)_{n_1} \\
\text{HO} & \quad \text{HO}
\end{align*}
\]
wherein:

R is independently selected from halogen, monovalent hydrocarbon, and monovalent hydrocarbonoxy radicals;

R¹ is independently selected from halogen, monovalent hydrocarbon, and monovalent hydrocarbonoxy radicals:

W is selected from divalent hydrocarbon radicals,

\[ \begin{array}{cccccc}
\text{O} & \text{O} & \text{O} & \text{O} \\
-\text{S}^{-}, -\text{S}=\text{S}^{-}, -\text{O}^{-}, -\text{S}^{-}, -\text{S}^{-}, -\text{S}^{-}, \text{and} -\text{C}^{-}; \\
\end{array} \]

n and n¹ are independently selected from integers having a value of from 0 to 4 inclusive; and

b is either zero or one.

The monovalent hydrocarbon radicals represented by R and R¹ include the alkyl, cycloalkyl, aryl, aralkyl and alkaryl radicals. The preferred alkyl radicals are those containing from 1 to about 12 carbon atoms. The preferred cycloalkyl radicals are those containing from 4 to about 8 ring carbon atoms. The preferred aryl radicals are those containing from 6 to 12 ring carbon atoms, i.e., phenyl, naphthyl, and biphenyl. The preferred alkaryl and aralkyl radicals are those containing from 7 to about 14 carbon atoms.

The preferred halogen radicals represented by R and R¹ are chlorine and bromine.

The divalent hydrocarbon radicals represented by include the alkylene, alkylidene, cycloalkylene and cycloalkylidene radicals. The preferred alkylene radicals are those containing from 2 to about 30 carbon atoms. The preferred alkylidene radicals are those containing from 1 to about 30 carbon atoms. The preferred cycloalkylene and cycloalkylidene radicals are those containing from 6 to about 16 ring carbon atoms.
The monovalent hydrocarbonoxy radicals represented by R and R' may be represented by the formula—OR² wherein R² is a monovalent hydrocarbon radical of the type described hereinafore. Preferred monovalent hydrocarbonoxy radicals are the alkoxy and aryloxy radicals.

Suitable dihydric phenols include, but are not limited to, BPA; 2,2-bis(3,5-dibromo-4-hydroxyphenyl)propane; 2,2-bis(3,5-dimethyl-4-hydroxyphenyl)propane; 1,1-bis(4-hydroxyphenyl)cyclohexane; 1,1-bis(3,5-dimethyl-4-hydroxyphenyl)cyclohexane; 1,1-bis(4-hydroxyphenyl)decane; 1,1-bis(4-hydroxyphenyl)propane; 1,1-bis(4-hydroxyphenyl)cyclooctadecane; 1,1-bis(3,5-dimethyl-4-hydroxyphenyl)cyclooctadecane; 4,4-dihydroxyphenyl ether; 4,4-thiodiphenol; 4,4-dihydroxy-3,3-dichlorodiphenyl ether; 4,4-thiodiphenol; 4,4-dihydroxy-3,3-dichlorodiphenyl ether; 4,4-dihydroxy-2,5-dihydroxydiphenyl ether; BPI; 1,1-bis(4-hydroxyphenyl)-1-phenylethane; 1,1-bis(3-methyl-4-hydroxyphenyl)-1-phenylethane, and mixtures thereof. In one embodiment, the residues of dihydric phenol in the polycarbonate comprise 100 mol% of residues derived from BPA.

Optionally, polyfunctional compounds may be utilized. Suitable polyfunctional compounds used in the polymerization of branched polycarbonate include, but are not limited to,

1,1,1-tris(4-hydroxyphenyl)ethane,

4-[4-[1,1-bis(4-hydroxyphenyl)-ethyl]-dimethylbenzyl],

trimellitic anhydride,

trimellitic acid, or their acid chloride derivatives.
As the diester of carbonic acid, various compounds may be used, including, but not limited to diaryl carbonate compounds, dialkyl carbonate compounds and alkylaryl carbonate compounds. Suitable diesters of carbonic acid include, but are not limited to, diphenyl carbonate; bis(4-t-butylphenyl)carbonate; bis(2,4-dichlorophenyl)carbonate; bis(2,4,6-trichlorophenyl)carbonate; bis(2-cyanophenyl)carbonate; bis(o-nitrophenyl)carbonate; ditolyl carbonate; m-cresol carbonate; dinaphthyl carbonate; bis(diphenyl)carbonate; diethyl carbonate; dimethyl carbonate; dibutyl carbonate; dicyclohexyl carbonate; and mixtures thereof. Of these, diphenyl carbonate is preferred. If two or more of these compounds are utilized, it is preferable that one is diphenyl carbonate.

In the process of the present invention, an endcapping agent may optionally be used. Suitable endcapping agents include monovalent aromatic hydroxy compounds, haloformate derivatives of monovalent aromatic hydroxy compounds, monovalent carboxylic acids, halide derivatives of monovalent carboxylic acids, and mixtures thereof.

Suitable endcapping agents include, but are not limited to phenol, p-tert-butylphenol; p-cumylphenol; p-cumylphenol carbonate; undecanoic acid, lauric acid, stearic acid; phenyl chloroformate, t-butyl phenyl chloroformate, p-cumyl chloroformate, chroman chloroformate, octyl phenyl; nonyl phenyl chloroformate or a mixture thereof.

If present, the endcapping agent is preferably present in amounts of about 0.01 to about 0.20 moles, preferably about 0.02 to about 0.15 moles, even more preferably about 0.02 to about 0.10 moles per 1 mole of the dihydric phenol.

It was unexpectedly found that catalyst systems comprising salts of nonvolatile acids and a base cocatalyst provide polymerization rates comparable to
alkali metal hydroxides, such as sodium hydroxide, while providing less undesirable branching side products. The branching side products include, but are not limited to Fries products.

By "nonvolatile" it is meant that the referenced compounds have no vapor pressure and no known boiling point. In particular, these compounds are not volatile at temperatures at which melt polymerizations of polycarbonate are typically conducted. The preferred salts of nonvolatile acids of the present invention are alkali metal salts of phosphites; alkali earth metal salts of phosphites; alkali metal salts of phosphates; alkali earth metal salts of phosphates. Suitable salts of nonvolatile acids include, but are not limited to, NaH₂PO₃; NaH₂PO₄; Na₂H₂PO₃; KH₂PO₄; CsH₂PO₄; Cs₂H₂PO₄ and a mixture thereof. In one embodiment, the salt of the nonvolatile acid is CsH₂PO₄.

In the present invention, it is critical that the catalyst system comprise a base cocatalyst in addition to the salts of the nonvolatile acids. Suitable base cocatalysts include, but are not limited to, ammonium compounds, phosphonium compounds, and mixtures thereof. Preferred compounds include quaternary ammonium compounds, quaternary phosphonium compounds, and mixtures thereof. Examples of quaternary ammonium compounds include, but are not limited to, tetramethylammonium hydroxide (TMAH); tetraethylammonium hydroxide; tetrabutylammonium hydroxide; trimethylbenzylammonium hydroxide and mixtures thereof. Examples of suitable quaternary phosphonium compounds include, but are not limited to, tetramethylphosphonium hydroxide; tetaethylphosphonium hydroxide; tetrabutylphosphonium hydroxide and mixtures thereof.

The salts of the nonvolatile acids were found to have varying levels of activity. It was unexpectedly found that in the presence of an effective amount of base cocatalyst as
defined herein, NaH$_2$PO$_3$ exhibited almost equivalent activity to NaOH, while CsH$_3$PO$_4$ was significantly more active as compared to NaOH. The catalyst may be introduced into the reaction system in a variety of forms. The catalyst may be added as a solid, for example a powder, or it may be dissolved in a solvent, for example water or alcohol. In one embodiment, the catalyst is introduced into the reaction system in the form of an aqueous solution. The salts may be prepared prior to introduction into the reaction system, or they may be formed in solution by the reaction of the appropriate acid and base.

The basicity of the salts of nonvolatile acids was found to be important in determining the activity and selectivity of the salts of the nonvolatile acids in the presence of an effective amount of base cocatalyst as defined in the present invention. It is desirable to maintain the pH, with respect to the stoichiometry of the solution, as low as possible, while maintaining the desired activity. Preferred pHs are in the range of about 3.2 to about 7.0. If the pH is less than about 3.0, the rate of polymerization is reduced, and a high molecular weight product is not obtained. If the pH is higher than about 7.0, side reactions are more likely to occur.

The desired molecular weight of the product depends on the intended use. For example, for optical materials, the number average molecular weight of the product is preferably in the range of about 7,500 to about 9,000; while for sheet materials the number average molecular weight is preferably in the range of about 25,000 to about 30,000. Therefore, it may be desirable to approach more acidic pHs in the synthesis of polycarbonate materials relatively low molecular weights, for example, optical materials. Typically, as the aqueous solution becomes more acidic, the catalyst becomes more selective, however the molecular weight of the resultant product decreases.
The salt of the nonvolatile acid is preferably used in an amount of form $10^{-8}$ to $10^{-3}$ moles of catalyst per 1 mole of dihydric phenol compound, more preferably $10^{-7}$ to $10^{-5}$ moles of catalyst per 1 mole of dihydric phenol compounds. When the amount is less than $10^{-8}$ mole, there is a possibility that catalyst activity is not exhibited. When the amount is greater than $10^{-3}$ moles per 1 mole of dihydric phenol, the properties of the final polycarbonate product may be adversely affected. The base, such as the quaternary ammonium salt/and or phosphonium catalyst are preferably present in amounts of from $10^{-2}$ to about $10^{-6}$, preferably $10^{-2}$ to about $10^{-5}$ moles per 1 mole of dihydric phenol compounds, in addition to the salt of the nonvolatile acid.

The ratio of the base cocatalyst to the alkali metal by moles is preferably in the range of from about 1.0 to about 10,000, more preferably from about 10 to about 1000, even more preferably from about 25 to about 500. In the embodiment in which TMAH is used as the base cocatalyst, that addition of TMAH at a ratio of about 12:1, more preferably about 2:1 is sufficient to “activate” the nonvolatile acid salts. By “activate” it is meant that the catalytic activity of the nonvolatile acid and base is greater than the catalytic activity of the nonvolatile acid salt alone.

The reaction conditions of the melt polymerization are not particularly limited and may be conducted in a wide range of operating conditions. The reaction temperature is typically in the range of about 100 to about 350° C, more preferably about 180 to about 310° C. The pressure may be at atmospheric, or at an added pressure of from atmospheric to about 15 torr in the initial stages of the reaction, and at a reduced pressure at later stages, for example in the range of about .2 to about 15 torr. The reaction time is generally about .1 hours to about 10 hours.
The melt polymerization may be accomplished in one or more stages, as is known in the art. The salts of the nonvolatile acids and the base may be added in the same stage or different stages, if the melt polymerization is conducted in more than one stage.

In one embodiment, the process is conducted as a two stage process. In the first stage of this embodiment, the base, for example the quaternary ammonium compound is introduced into the reaction system comprising the dihydroxy compound and the carbonic acid diester. The first stage is conducted at a temperature of 270 °C or lower, preferably 80 to 250° C, more preferably 100 to 230°C. The duration of the first stage is preferably 0 to 5 hours, even more preferably 0 to 3 hours at a pressure form atmospheric pressure to 100 torr, with a nitrogen atmosphere preferred.

In the second stage, the salt of the nonvolatile acid is introduced into the product from the first stage and further polycondensation is conducted. The salt of the nonvolatile acid may be added in its entire amount in the second stage, or it may be added in batches in the second and subsequent stages so that the total amount is within the aforementioned ranges.

It is preferably in the second and subsequent stages of the polycondensation step for the reaction temperature to be raised while the reaction system is reduced in pressure compared to the first stage, thus bringing about a reaction between the bisphenol and the carbonic acid diester, and the for the bisphenol and the carbonic acid diester finally to be subjected to a polycondensation reaction at 240 to 320 °C under reduced pressure of 5 mm Hg or less, and preferably 1 mm Hg or less.

If the melt polymerization is conducted in more than one stage, it is preferably to add the base, such as TMAH in an earlier stage than the salt of the nonvolatile acid. in
particular, it is preferable to add the base to the reactor before the temperature reaches 220 °C, preferably before it reaches 200 °C.

Additives may also be added to the polycarbonate product as long as they do not adversely affect the properties of the product. These additives include a wide range of substances that are conventionally added to the polycarbonates for a variety of purposes. Specific examples include heat stabilizers, epoxy compounds, ultraviolet absorbers, mold release agents, colorants, antistatic agents, slipping agents, antiblocking agents, lubricants, antifogging agents, natural oils, synthetic oils, waxes, organic fillers, flame retardants, inorganic fillers and any other commonly known class of additives.

The reaction can be conducted as a batch or a continuous process. Any desired apparatus can be used for the reaction. The material and the structure of the reactor used in the present invention is not particularly limited as long as the reactor has an ordinary capability of stirring. It is preferable that the reactor is capable of stirring in high viscosity conditions as the viscosity of the reaction system is increased in later stages of the reaction.

EXAMPLES

The following examples are set forth to provide those of ordinary skill in the are with a complete description of how the compositions of matter and methods claimed herein are made and evaluated, and are not intended to limit the scope of what the inventors regard as their invention. Efforts have been made to insure accuracy with respect to
numbers (e.g., amounts, temperature, etc.) but some errors and deviations should be accounted for. Unless indicated otherwise, parts are by weight, temperature is in °C.

Molecular weights are reported as number average ($M_n$) and were determined by GPC analysis of polycarbonate prepared by melt polymerization. Standards of polystyrene were used to construct a universal calibration against which polycarbonate could be measured using the Mark-Houwink equation. The temperature of the columns was 25°C and the mobile phase was chloroform.

Fries content was measured by the KOH methanolysis of resin and is reported as parts per million (ppm). The content of Fries for each of the melt polycarbonates listed in Table 1 was determined as follows. First, 0.50 grams of polycarbonate was dissolved in 4.0 ml of THF (containing $p$-terphenyl as internal standard). Next, 3.0 ml of 18% KOH in methanol was added to this solution. The resulting mixture was stirred for two hours at this temperature. Next, 1.0 ml of acetic acid was added, and the mixture was stirred for 5 minutes. Potassium acetate was allowed to crystallize over 1 hour. The solid was filtered off and the resulting filtrate was analyzed by liquid chromatograph using $p$-terphenyl as the internal standard.

The following is a description of how the preparation was prepared for sample 1 in Table. The remaining samples were prepared by an otherwise identical procedure using the other catalysts listed in Table 1, except as noted.

Table 1

Polymerization Data at 280°C. Final Stage
<table>
<thead>
<tr>
<th>Example</th>
<th>Catalyst</th>
<th>pH</th>
<th>Cocatalyst</th>
<th>Mn</th>
<th>Fries (ppm)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>NaOH</td>
<td>11.60</td>
<td>TMAH</td>
<td>5510</td>
<td>142</td>
</tr>
<tr>
<td>2</td>
<td>NaH₂PO₃</td>
<td>5.60</td>
<td>TMAH</td>
<td>5547</td>
<td>163</td>
</tr>
<tr>
<td>3</td>
<td>NaH₂PO₄</td>
<td>4.60</td>
<td>TMAH</td>
<td>1200</td>
<td>&lt;25</td>
</tr>
<tr>
<td>4</td>
<td>Na₃HPO₄</td>
<td>9.32</td>
<td>TMAH</td>
<td>1820</td>
<td>&lt;25</td>
</tr>
<tr>
<td>5</td>
<td>KH₂PO₄</td>
<td>4.60</td>
<td>TMAH</td>
<td>1900</td>
<td>&lt;25</td>
</tr>
<tr>
<td>6</td>
<td>CsH₂PO₄</td>
<td>5.60</td>
<td>TMAH</td>
<td>6545</td>
<td>144</td>
</tr>
</tbody>
</table>

Table 2

Polymerization Data at 310°C, Final Stage

<table>
<thead>
<tr>
<th>Example</th>
<th>Catalyst</th>
<th>pH</th>
<th>Cocatalyst</th>
<th>Mn</th>
<th>Fries (ppm)</th>
</tr>
</thead>
<tbody>
<tr>
<td>7</td>
<td>NaOH</td>
<td>11.60</td>
<td>TMAH</td>
<td>7597</td>
<td>471</td>
</tr>
<tr>
<td>8</td>
<td>NaH₂PO₃</td>
<td>5.60</td>
<td>TMAH</td>
<td>7449</td>
<td>170</td>
</tr>
<tr>
<td>9</td>
<td>Na₃HPO₄</td>
<td>9.32</td>
<td>TMAH</td>
<td>5673</td>
<td>183</td>
</tr>
<tr>
<td>11</td>
<td>KH₂PO₄</td>
<td>4.60</td>
<td>TMAH</td>
<td>7672</td>
<td>228</td>
</tr>
<tr>
<td>12</td>
<td>CsH₂PO₄</td>
<td>5.60</td>
<td>TMAH only</td>
<td>8487</td>
<td>192</td>
</tr>
<tr>
<td>13</td>
<td>none</td>
<td></td>
<td>TMAH only</td>
<td>1200</td>
<td>67</td>
</tr>
</tbody>
</table>
Table 3

Polymerization Data without added co-catalyst (TMAH)

<table>
<thead>
<tr>
<th>Example</th>
<th>Catalyst</th>
<th>pH</th>
<th>Cocatalyst</th>
<th>Mn</th>
<th>Fries (ppm)</th>
</tr>
</thead>
<tbody>
<tr>
<td>14</td>
<td>NaOH</td>
<td>11.60</td>
<td>none</td>
<td>3815</td>
<td>367</td>
</tr>
<tr>
<td>15</td>
<td>NaH₂PO₃</td>
<td>5.60</td>
<td>none</td>
<td>1181</td>
<td>53</td>
</tr>
<tr>
<td>16</td>
<td>Na₃H₂PO₄</td>
<td>8.3</td>
<td>none</td>
<td>846</td>
<td>327</td>
</tr>
<tr>
<td>17</td>
<td>KH₂PO₄</td>
<td>4.6</td>
<td>none</td>
<td>920</td>
<td>320</td>
</tr>
<tr>
<td>18</td>
<td>CsH₃PO₄</td>
<td>5.6</td>
<td>none</td>
<td>327</td>
<td>&lt;25</td>
</tr>
<tr>
<td>19</td>
<td>Cs₂H₃PO₄</td>
<td>8.4</td>
<td>none</td>
<td>3787</td>
<td>257</td>
</tr>
</tbody>
</table>

Table 4

Effect of catalyst solution pH on activity at 310 °C final stage

<table>
<thead>
<tr>
<th>Example</th>
<th>Catalyst</th>
<th>pH</th>
<th>Cocatalyst</th>
<th>Mn</th>
<th>Fries (ppm)</th>
</tr>
</thead>
<tbody>
<tr>
<td>20</td>
<td>NaOH</td>
<td>11.7</td>
<td>TMAH</td>
<td>7600</td>
<td>471</td>
</tr>
<tr>
<td>Example</td>
<td>Catalyst</td>
<td>10 minutes</td>
<td>20 minutes</td>
<td></td>
<td></td>
</tr>
<tr>
<td>---------</td>
<td>----------</td>
<td>------------</td>
<td>------------</td>
<td></td>
<td></td>
</tr>
<tr>
<td>26</td>
<td>NaOH</td>
<td>23.5</td>
<td>35.5</td>
<td></td>
<td></td>
</tr>
<tr>
<td>27</td>
<td>NaH₂PO₃</td>
<td>&lt;1.0</td>
<td>&lt;1.0</td>
<td></td>
<td></td>
</tr>
<tr>
<td>28</td>
<td>KH₂PO₄</td>
<td>&lt;1.0</td>
<td>&lt;1.0</td>
<td></td>
<td></td>
</tr>
<tr>
<td>29</td>
<td>CsH₂PO₄</td>
<td>&lt;1.0</td>
<td>&lt;1.0</td>
<td></td>
<td></td>
</tr>
<tr>
<td>30</td>
<td>Na₃HPO₄</td>
<td>3.2</td>
<td>6.1</td>
<td></td>
<td></td>
</tr>
<tr>
<td>31</td>
<td>Cs₃H₂PO₄</td>
<td>31.2</td>
<td>43.9</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Table 5

Equilibrations at 200 °C

% Conversion
Table 6

Equilibration at 200 °C with added TMAH

<table>
<thead>
<tr>
<th>Example</th>
<th>Catalyst</th>
<th>10 minutes</th>
<th>20 minutes</th>
</tr>
</thead>
<tbody>
<tr>
<td>32</td>
<td>TMAH only</td>
<td>8.7</td>
<td>11.3</td>
</tr>
<tr>
<td>33</td>
<td>NaOH</td>
<td>33.2</td>
<td>42.4</td>
</tr>
<tr>
<td>34</td>
<td>NaH₂PO₄</td>
<td>13.2</td>
<td>17.1</td>
</tr>
<tr>
<td>35</td>
<td>CsH₃PO₄</td>
<td>15.0</td>
<td>20.4</td>
</tr>
</tbody>
</table>

The following is a description of how the preparation was prepared for Example 1 in Table 1. The remaining samples (Examples 2-25) were prepared by an otherwise identical procedure using the other catalysts listed in Table 1, except as noted. In particular, different catalysts and different end stage temperature were utilized.

Examples 1-25

The following reactions were carried out in a 1 liter glass batch reactor equipped with a solid nickel helical agitator. The glass reactor surface was passivated by acid washing, rinsing, and subsequently drying overnight at 70°C and stored covered until use.
The temperature of the reactor was maintained using a fluidized sand bath with a PID controller and measured near the reactor and sand bath interface. The pressure of the reactor was controlled by a nitrogen bleed into the vacuum pump downstream of the distillate collection flasks and measured at higher pressures (760 mm Hg to 40 mm Hg) with a mercury barometer, and at lower pressures (40 mm Hg to 1 mm Hg) with an Edward pirani gauge.

The reactor was charged with 0.6570 mol BPA and 0.7096 mol diphenyl carbonate prior to assembly. The reactor was then assembled, sealed and the atmosphere was exchanged with nitrogen three times. With the final nitrogen exchange, the reactor was brought to about atmospheric pressure and submerged into the fluidized bath which was at 180°C. After five minutes, agitation was begun at 250 rpm. After an additional ten minutes, the reactants were fully melted and a homogeneous mixture was assumed. Tetramethyl ammonium hydroxide (TMAH, 1.32 x 10^{-4} mol) and sodium hydroxide (NaOH, 5.00 x 10^{-7} mol) were added sequentially after being diluted to the proper concentrations (0.220 M TMAH and 5.00 x 10^{-3} M NaOH) with 18 Mohm water. After the final catalyst was added, timing began, and the temperature was ramped to 210°C in five minutes. Once at temperature, the pressure was reduced to 180 mm Hg and phenol distillate was immediately formed. After 25 minutes, the pressure was again reduced to 100 mm Hg and maintained for 45 minutes.

The temperature was then ramped to 240°C in five minutes and the pressure was lowered to 15 mm Hg. These conditions were maintained for 45 minutes. The temperature was then ramped to 270°C in five minutes and the pressure was lowered to 2 mm Hg. These conditions were maintained for 10 minutes. The temperature was then ramped to the final finishing temperature in five minutes and the pressure was reduced to 1.1 mm Hg. The finishing temperature was 310°C, except as noted.
otherwise. After 30 minutes, the reactor was removed from the sand bath and the melt was extruded into liquid nitrogen to quench the reaction.

Unless otherwise noted, non volatile acid salt catalysts were added at $1.0 \times 10^{-6}$ moles/mole BPA, and TMAH was added at $2.5 \times 10^{-4}$ moles/mole BPA; the pH refers to the pH of a 0.001M solution of catalyst.

Table 1 provides polymerization data in which the final stage of the polymerization was at 280 °C for 30 minutes.

Table 2 provides polymerization data in which the final stage of the polymerization is at 310 °C for 30 minutes.

Table 3 provides polymerization data in which the final stage of polymerization was at 310 °C, however, no TMAH was added at the beginning of the polymerization. As shown, only the NaOH appears to be active under these conditions. The KH$_2$PO$_4$ slat was run at 29 times higher loading and still did not build molecular weight. In Table 4, the pH of the CsH$_2$PO$_4$ solution was varied form 3.7 up to 8.4. As the catalyst solution became more basic, selectivity was lost.

Table 4 illustrates the effect of basicity of the non volatile acid salts, with respect to stoichiometry the solution of pH=5.6 is for the mono Cs (1:1 CsOH to H$_2$PO$_4$); at pH=6.9 is 1.5 Cs; and at pH=8.4 the Cs stoichiometry is 2.0:1. As can be seen, the "basic" form of these non-volatile acid salts are active, however most if not all the selectivity was lost as compared to sodium hydroxide. In Table 4, the pH of the
CsH₂PO₄ solution was varied from 3.7 up to 8.4. As the catalyst solution became more basic, selectivity was lost.

As is clear from Tables 1 through 4, the salts of non-volatile acids have varying levels of activity. The lower temperature polymerization, as set forth in Table 1, differentiates the various catalyst activity. Typically the non volatile acid salts are less active than NaOH with the exception of NaH₂PO₃ which was almost equivalent activity and the CsH₂PO₄ which was significantly more active as compared to NaOH. At the lower temperature it is difficult to assess the inherent selectivity with respect to Fries formation since they are all quite low as would be expected at the lower temperature. Running the last stage of the polymerization at 310 °C (Table 2) almost all the catalysts provided comparable number average molecular weights, indicating equivalent polymerization rates. However, at this higher temperature improved selectivity for the non volatile acid salts can be observed, and the reduction of Fries levels by greater than 50% in some cases.

Example 26

The following is a description of how the preparation was prepared for Example 26 in Table 5. The remaining samples (Examples 27-35) in Tables 5 and 6 were prepared by an otherwise identical procedure using the other catalysts listed in Table 1, except as noted.

As additional proof of the lack of activity for the non-volatile acid salts without the added base cocatalyst, as compared to NaOH, a model transesterification
reaction was conducted. A 25 milliliter round bottom flask was charged with 3.0
grams of di-para-cumyl phenyl carbonate (0.0066 moles) purified by recrystallization
from ethanol and 2.72 grams of p-(octyl)phenol (0.0132 moles) purified by vacuum
distillation. The flask was immersed in an oil bath at 200 °C and allowed to stir for
20 minutes at which time a t=0 sample was taken. Stirring for 20 minutes without a
catalyst was the control to ensure no contamination was present in each run.
Transesterification at this temperature over twenty minutes indicated an impurity
which catalyzed the reaction, or that the monomer purity was insufficient. The
aqueous catalyst solution was added and the timing of equilibration was begun.
Samples were taken at intervals and added to vials cooled in an ice bath. Samples
were then analyzed by HPLC using an acetonitrile-water mobile phase. It was
important to add 0.05% acetic acid to the water to prevent further equilibration
during the HPLC analysis.

The results of the equilibration reactions are set forth in Table 5 and 6. As
shown, at 200 °C and a NaOH catalyst concentration of 2.0 × 10^{-5} moles
catalyst/moles carbonate, the mixture reaches equilibrium in approximately 20
minutes. Equilibrium has been determined to be 50% conversion. As shown in Table
5, none of the nonvolatile acid salts exhibited catalytic activity. The concentration of
the non volatile acid salt catalysts was 2.0 × 10^{-5} moles catalyst/ moles added
carbonate; the concentration of added TMAH as set forth in Table 6 was 4.0 × 10^{-5}
moles/moles added carbonate.

The leveling off in activity for the TMAH is due to the competing thermal
decomposition of this catalyst. The leveling off in reactivity can also be observed for
the combination of TMAH and non volatile acid salts.
This invention has been described in detail with particular reference to preferred embodiments thereof, but it will be understood that variations and modifications can be effected within the spirit and scope of the invention.
WHAT IS CLAIMED IS:

1. A method for the preparation of polycarbonate by the melt process comprising
reacting a diphenol and a diarylcarbonte in the presence of a catalyst system
comprising a catalytically effective amount of an alkali and/or alkali earth metal salt
of a non volatile acid and a base.

2. The method of claim 1, wherein the nonvolatile acid salt is a phosphite of an alkali
metal compound, a phosphate of an alkali metal compound or a mixture thereof.

3. The method of claim 1, wherein the nonvolatile acid salt is selected from the group
consisting of Na$_2$H$_2$PO$_3$; NaH$_2$PO$_4$; Na$_2$HPO$_4$, KH$_2$PO$_4$, and CsH$_2$PO$_4$.

4. The method of claim 1, wherein the nonvolatile acid salt is selected from the group
consisting of NaH$_2$PO$_4$; Na$_2$HPO$_4$, and KH$_2$PO$_4$.

5. The method of claim 2, wherein the base is a quaternary ammonium compound; a
quaternary phosphonium compound; or a mixture thereof.
6. The method of claim 3, wherein the base is a quaternary ammonium compound; a quaternary phosphonium compound; or a mixture thereof.

7. The method of claim 4, wherein the base is a quaternary ammonium compound; a quaternary phosphonium compound; or a mixture thereof.

8. The method of claim 1, wherein the diphenol is bisphenol A.

9. A method of reducing the Fries content of a polycarbonate comprising preparing a polycarbonate by a melt process by reacting a diphenol and a diaryl carbonate in the presence of a catalyst system comprising a catalytically effective amount of one or more alkali and/or alkali earth metal salts of a non-volatile acid and a base.

10. The method of claim 7, wherein the base is TMAH, TBPH or a mixture thereof.

11. A polycarbonate prepared by the method of claim 7.

12. A polycarbonate prepared by the method of claim 1.
13. The method of claim 7, wherein the diphenol is bisphenol A.

14. The method of claim 7, wherein the Fries content of the polycarbonate is less than 1000 ppm.

15. The method of claim 7, wherein the Fries content of the polycarbonate is less than 500 ppm.

16. A method of reducing the branched side products of polycarbonate comprising preparing a polycarbonate by a melt process by reacting a diphenol and a diaryl carbonate in the presence of a catalytically effective amount of one or more alkali and/or alkali earth metal salts of a non-volatile acid and a base.
**INTERNATIONAL SEARCH REPORT**

**A. CLASSIFICATION OF SUBJECT MATTER**

**IPC 7** CO8G 664/30

According to International Patent Classification (IPC) or to both national classification and IPC.

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols):

**IPC 7** CO8G

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched:

Electronic database consulted during the international search (name of database and where practical, search terms used):

EPO-Internal, PAJ, WPI Data

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

<table>
<thead>
<tr>
<th>Category</th>
<th>Citation of document, with indication, where appropriate, of the relevant passages</th>
<th>Relevant to claim No.</th>
</tr>
</thead>
<tbody>
<tr>
<td>P,X</td>
<td>WO 00 37531 A (GEN ELECTRIC) 29 June 2000 (2000-06-29) page 12, line 1 - line 18; example 2</td>
<td>1-16</td>
</tr>
<tr>
<td>P,X</td>
<td>EP 0 992 522 A (TEIJIN LTD) 12 April 2000 (2000-04-12) page 9, line 26 - line 45; claims 6-18</td>
<td>1-16</td>
</tr>
</tbody>
</table>

Further documents are listed in the continuation of box C.

* Patent family members are listed in annex.

* Special categories of cited documents:
  *A* document defining the general state of the art which is not considered to be of particular relevance
  *E* earlier document but published on or after the international filing date
  *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
  *O* document referring to an oral disclosure, use, exhibition or other means
  *P* document published prior to the international filing date but later than the priority data claimed

* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

* document member of the same patent family

Date of the actual completion of the international search: 22 January 2001

Date of mailing of the international search report: 01/02/2001

Name and mailing address of the ISA:

European Patent Office, P.B. 5818 Patentlaan 2 NL-2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016

Authorized officer: Decoker, L
<table>
<thead>
<tr>
<th>Category</th>
<th>Citation of document, with indication, where appropriate, of the relevant passages</th>
<th>Relevant to claim No.</th>
</tr>
</thead>
<tbody>
<tr>
<td>X</td>
<td>EP 0 719 816 A (GEN ELECTRIC)</td>
<td>1-16</td>
</tr>
<tr>
<td></td>
<td>3 July 1996 (1996-07-03)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>page 5, line 40 - page 7, line 11; claims 1-6</td>
<td></td>
</tr>
<tr>
<td>X</td>
<td>EP 0 673 959 A (MITSUBISHI CHEM CORP)</td>
<td>1,8-13, 16</td>
</tr>
<tr>
<td></td>
<td>claims 1-10</td>
<td></td>
</tr>
<tr>
<td>A</td>
<td>DE 43 12 390 A (BAYER AG)</td>
<td>1-16</td>
</tr>
<tr>
<td></td>
<td>20 October 1994 (1994-10-20)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>page 3, line 37 - page 4, line 22; claims 1,2</td>
<td></td>
</tr>
<tr>
<td>A</td>
<td>EP 0 852 242 A (IDEMITSU KOSAN CO)</td>
<td>1-16</td>
</tr>
<tr>
<td></td>
<td>8 July 1998 (1998-07-08)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>claims 1-6</td>
<td></td>
</tr>
<tr>
<td>Patent document cited in search report</td>
<td>Publication date</td>
<td>Patent family member(s)</td>
</tr>
<tr>
<td>--------------------------------------</td>
<td>-----------------</td>
<td>-------------------------</td>
</tr>
<tr>
<td>WO 0037531 A</td>
<td>29-06-2000</td>
<td>NONE</td>
</tr>
<tr>
<td>JP 09059371 A</td>
<td>04-03-1997</td>
<td>NONE</td>
</tr>
<tr>
<td></td>
<td></td>
<td>WO 9955764 A</td>
</tr>
<tr>
<td></td>
<td></td>
<td>CN 1130649 A</td>
</tr>
<tr>
<td></td>
<td></td>
<td>US 5717057 A</td>
</tr>
<tr>
<td></td>
<td></td>
<td>CN 1109894 A,B</td>
</tr>
<tr>
<td></td>
<td></td>
<td>DE 69505580 D</td>
</tr>
<tr>
<td></td>
<td></td>
<td>DE 69505580 T</td>
</tr>
<tr>
<td></td>
<td></td>
<td>US 5527875 A</td>
</tr>
<tr>
<td>DE 4312390 A</td>
<td>20-10-1994</td>
<td>BE 1008318 A</td>
</tr>
<tr>
<td></td>
<td></td>
<td>IT 1272201 B</td>
</tr>
<tr>
<td></td>
<td></td>
<td>JP 6306159 A</td>
</tr>
<tr>
<td></td>
<td></td>
<td>NL 9400604 A</td>
</tr>
<tr>
<td></td>
<td></td>
<td>US 5399659 A</td>
</tr>
<tr>
<td></td>
<td></td>
<td>AU 7000296 A</td>
</tr>
<tr>
<td></td>
<td></td>
<td>US 5942594 A</td>
</tr>
<tr>
<td></td>
<td></td>
<td>WO 9711107 A</td>
</tr>
</tbody>
</table>