I/We, the Applicant(s)/Nominated Person(s) specified below, request the grant of a patent for an invention described in the accompanying standard complete specification.

**Applicant(s)/Nominated Person(s):**

Societe Des Produits Nestle S.A., a Swiss Body Corporate, of 1800 Vevey, SWITZERLAND

**Invention Title:**

"CONTINUOUS EXTRUSION OF CHOCOLATE"

**Name/s of Actual Inventor/s:**

Mark JURY and John Howard WALKER

**Basic Convention Application Details**

<table>
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<tr>
<th>Application No.</th>
<th>Country</th>
<th>Application Date</th>
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<td>9606285.6</td>
<td>GB</td>
<td>26 March 1996</td>
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<tr>
<td>9615404.2</td>
<td>GB</td>
<td>23 July 1996</td>
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**Drawing Number recommended to accompany the Abstract:** None.

**Address for Service:**

SHELSTON WATERS
60 Margaret Street
SYDNEY NSW 2000 (Code: SW)

DATED this TWENTY FOURTH day of MARCH 1997

Societe Des Produits Nestle S.A.

by Fellow Institute of Patent Attorneys of Australia

TO: THE COMMISSIONER OF PATENTS

WODEN ACT 2606

File: 19484.00
Fee: $280.00
NOTICE OF ENTITLEMENT

We, SOCIETE DES PRODUITS NESTLE S.A., of 1800 Vevey, SWITZERLAND, being the applicant and nominated person in respect of Application No. 16489/97, state the following:–

1. The person nominated for the grant of the patent has entitlement from the actual inventors as follows:
   If a patent were granted to the actual inventors in respect of the invention the nominated person would be entitled to have the patent assigned to it.

2. The person nominated for the grant of the patent is the applicant of the basic applications listed on the patent request form.

3. The basic applications listed on the patent request form are the first applications made in a Convention country in respect of the invention.

For and on behalf of

SOCIETE DES PRODUITS NESTLE S.A.

(Signature) ............................. .............................
(Date) .............................

Name: Roman VUILLE
Title: Vice President

File: 19484
A process for the continuous extrusion of chocolate or a fat-containing confectionery material using a screw extruder which comprises feeding the chocolate or fat-containing confectionery material into the screw extruder and generating a pressure to force the chocolate or fat-containing confectionery material in a substantially solid or semi-solid nonpourable form upstream of a flow constriction whereby the temperature of the screw, the temperature of the barrel wall, the screw speed, pressure, contraction ratio and extrusion rate are such that the chocolate or fat-containing confectionery material is extruded in a substantially solid or semi-solid nonpourable form to produce an axially homogeneous extruded product having a cross section that is of substantially the same profile as the die exit of the extruder, which is capable of retaining its shape and which has a temporary flexibility or plasticity enabling it to be physically manipulated, cut or plastically deformed before losing its flexibility or plasticity.
Complete Specification for a Standard Patent

Name of Applicant/s: Societe Des Produits Nestle S.A.

Actual Inventor/s: Mark JURY and John Howard WALKER

Address of Service: SHELSTON WATERS
60 MARGARET STREET
SYDNEY NSW 2000

Invention Title: "CONTINUOUS EXTRUSION OF CHOCOLATE"

The following statement is a full description of this invention, including the best method of performing it known to us:-

(File: 19484.00)
Continuous Extrusion of Chocolate

The present invention relates to the extrusion of chocolate and more particularly to a process for the continuous extrusion of solid or semi-solid chocolate using a screw extruder.

In our co-pending EP-A-93114251.7, the entirety of which is hereby incorporated by reference, we describe a process for plastically extruding a fat-containing confectionery material which comprises feeding the fat containing confectionery material into an extruder and applying pressure to the fat-containing confectionery material in a substantially solid or semi-solid nonpourable form upstream of a flow constriction whereby the temperature, pressure, contraction ratio and extrusion rate are such that the fat-containing confectionery material is extruded and remains in a substantially solid or semi-solid nonpourable form to produce an axially homogeneous extruded product having a cross section that is of substantially the same profile as the die exit of the extruder, which is capable of retaining its shape and which has a temporary flexibility or plasticity enabling it to be physically manipulated, cut or plastically deformed before losing its flexibility or plasticity.

The temporary flexibility of the extruded fat-containing confectionery material obtained in the process of the above-mentioned co-pending patent application may last for up to 4 hours or more, e.g. from 1 second or less to 2 hours, e.g. from 10 seconds to 1 hour. During this period of temporary flexibility, the extruded fat-containing confectionery material may be cut cleanly and this is in contrast to fat-containing confectionery material extruded by a process as described in EP-A-93114251 after the temporary flexibility has been lost, or to a normal set
chocolate which has to be heated slightly for cutting otherwise it would shatter owing to its brittleness.

The extrusion process may be carried out batchwise or continuously and some examples of advantages of continuous extrusion are:

5 a) the extrusion rate is constant and uninterrupted, and

b) coextrusion is simpler because the chocolate or fat-containing confectionery material is extruded at a constant rate.

c) continuous downstream cutting of the extruded flexible chocolate can be carried out in order to form sectioned pieces.

However, when carrying out continuous extrusion using a screw extruder, heat may be generated by the friction of the shear of the chocolate between the screw and the barrel wall. This heat tends to raise the temperature so that the chocolate melts and the liquefied fat of the melted chocolate acts as a lubricant causing the chocolate to slip against the barrel wall which prevents it extruding efficiently. It is important that the chocolate should stick sufficiently at the surface of the barrel wall and slip sufficiently at the surface of the extruder screw in order that the extruder can generate an extrusion pressure. It is equally important that the temperature at either surface is not sufficiently high to cause substantial melting of the chocolate.

We have found that, by careful control of the temperature of the barrel wall and of the screw, we can ensure that the chocolate remains in a substantially solid or semi-solid
state with a suitable degree of slippage against the screw enabling an efficient extrusion through the barrel.

According to the present invention, there is provided a process for the continuous extrusion of chocolate or a fat-containing confectionery material using a screw extruder which comprises feeding the chocolate or fat-containing confectionery material into the screw extruder and generating a pressure to force the chocolate or fat-containing confectionery material in a substantially solid or semi-solid nonpourable form upstream of a flow constriction whereby the temperature of the screw, the temperature of the barrel wall, the screw speed, pressure, contraction ratio and extrusion rate are such that the chocolate or fat-containing confectionery material is extruded in a substantially solid or semi-solid nonpourable form to produce an axially homogeneous extruded product having a cross section that is of substantially the same profile as the die exit of the extruder, which is capable of retaining its shape and which has a temporary flexibility or plasticity enabling it to be physically manipulated, cut or plastically deformed before losing its flexibility or plasticity.

The chocolate material may be dark, milk or white chocolate. Fat containing confectionery materials may include sugar, milk derived components, and fat and solids from vegetable or cocoa sources in differing proportions having a moisture content less than 10%, more usually less than 5% by weight. They may be chocolate substitutes containing direct cocoa butter replacements, stearines, coconut oil, palm oil, butter or any mixture thereof; nut pastes such as peanut butter and fat; praline; confectioner’s coatings used for covering cakes usually comprising chocolate analogues with cocoa butter replaced
by a cheaper non-tempering fat; or "Caramac" sold by Nestlé comprising non-cocoa butter fats, sugar and milk.

The temperature of the screw may be controlled, for instance, by a fluid such as water at the appropriate temperature flowing through the interior of the screw. For example, the fluid may enter at the upstream end and flow to the downstream end through one or more longitudinal channels and return through one or more longitudinal channels to the upstream end where it exits. The temperature of the barrel wall may be controlled, for instance, by a fluid such as water or glycol or a mixture thereof at the appropriate temperature flowing through a jacket surrounding the barrel wall.

The temperatures of the screw and the barrel wall may be controlled according to the type of fats present in the material being extruded. For example, fats having higher melting points usually require a warmer barrel wall and screw than fats having lower melting points. The degree of flexibility of the extruded product may be affected by the temperature and the melting point of the fats present in the material being extruded.

With regard to the screw temperature, if the temperature is too low the chocolate will adhere to the screw and will not advance along the screw whereas if the temperature is too high the chocolate melts causing blockages. Depending on the type of fats present in the material being extruded, the temperature of the the screw may be from 10°C to 35°C, and more usually from 15°C to 30°C.

With regard to the barrel wall temperature, if the temperature of the barrel wall is too high, the chocolate may melt and slip against the wall and may not extrude efficiently. Depending on the type of fats present in the
material being extruded, the temperature of the barrel wall may be from -50° to +20°C, more usually from -25° to +15°C. Often, the temperature of the barrel wall may conveniently be lower than the incoming chocolate or fat-containing confectionery material but, in some circumstances, the temperature of the barrel wall may be higher than the incoming chocolate or fat-containing confectionery material. The slippage of the fat-containing confectionery material against the barrel wall may also be reduced by roughening the barrel wall, e.g. by rifling such as forming longitudinal or spiral grooves in the wall. The spiral grooves preferably run in the opposite direction to the pitch of the screw and preferably have a longer pitch than that of the screw.

Conveniently, the temperature of the screw is greater than the temperature of the barrel wall, for instance, by from 5° to 50°C, preferably from 10° to 40°C and more preferably from 12° to 30°C.

In one advantageous embodiment the diameter of the screw root increases from the upstream to the downstream end while the pitch remains constant. In another advantageous embodiment the pitch of the screw decreases from the upstream to the downstream end while the diameter of the screw root remains constant.

The compression ratio of the screw may be from 1:1 to 5:1 and preferably from 1.5:1 to 3:1. Compression ratios above 5:1 may cause blockages of the material being extruded. The ratio of length to diameter of the screw may be, for instance, from 5:1 to 30:1 and preferably from 10:1 to 25:1.

The diameter of the screw may be, for example from 20 to 500mm. The screw speed may be, for example, from 1 to
500 rpm. The actual screw diameter and screw speed may be
selected by the person skilled in the art according to the
requirements. The throughput depends on the screw speed and
may be, for instance, from 1 to 5000 kg/hr according to
requirements.

If desired, two or more fat-based confectionery materials
may be extruded in accordance with the process of the
present invention. In addition, the chocolate or fat-
containing confectionery material may be co-extruded with
other food materials such as ice cream, sorbet, yoghurt,
mousse, fondant, praline, marshmallow, nougat or jelly,
etc., such being advantageous when the fat-containing
confectionery material is extruded in a hollow or tubular
form. Hence, in such embodiments, a multi-orifice die
and/or associated equipment, as are known to those skilled
in the art, may be employed.

If desired, a twin screw extruder may be used and may be
either one using counter-rotating screws or one using co-
rotating screws.

The temperature of the chocolate or fat-containing
confectionery material as it is extruded may conveniently
be from 15° to 28°C, more usually from 18 to 25°C, e.g.
from 20° to 23°C.

The present invention will now be further described by way
of example only with reference to the accompanying drawing
in which Figure 1 represents a schematic diagram of a
continuous extrusion system.

Referring to Figure 1, the extruder generally designated 10
comprises a barrel 11 provided with a cooling jacket 12
having an inlet for cooling fluid 13 and an outlet for
cooling fluid 14, an inlet for the feed material to be
extruded 15, a die 16 having a circular cross-section and a diameter of 6.5mm, and a screw 17 whose root diameter increases from the upstream end to the downstream end and provided with threads 18 whose pitch is constant from the upstream end to the downstream end. The screw 17 is provided with a channel 19 for heating fluid extending from the upstream end to the downstream end having an inlet 20 connected with a concentric channel 21 leading to an outlet for the heating fluid 22.

The operation of the above described single screw extruder will now be described in the following Examples.

Example 1

Milk chocolate buttons having a temperature of 22°C are fed into the barrel 11 of the extruder 10 through the feed inlet 15. The screw 17 has a diameter of 32mm, a length to diameter ratio of 24:1, a 2:1 compression ratio and a screw speed of 65rpm. A 50:50 mixture of water and glycol at -5°C flows through the cooling jacket 12 of the barrel and water at 20°C flows through the channels 19 and 21 of the screw. As the screw rotates, the solid chocolate advances at a rate of 15kg/hr and is extruded through the die 16 with a configuration conforming to that of the die and has a temporary flexibility which lasts for about 1 hour.

Example 2

Milk chocolate buttons having a temperature of 22°C are fed into the barrel 11 of the extruder 10 through the feed inlet 15. The screw 17 has a diameter of 50mm, a length to diameter ratio of 20:1, a 2:1 compression ratio and a screw speed of 70rpm. A 50:50 mixture of water and glycol at -10°C flows through the cooling jacket 12 of the barrel and water at 25°C flows through the channels 19 and 21 of the
screw. As the screw rotates, the solid chocolate advances at a rate of 50kg/hr and is extruded at 21°C through the die 16 with a configuration conforming to that of the die and has a temporary flexibility which lasts for about 1 hour.

Example 3

Milk chocolate buttons having a temperature of 22°C are fed into the barrel 11 of the extruder 10 through the feed inlet 15. The screw 17 has a diameter of 90mm, a length to diameter ratio of 15:1, a 2:1 compression ratio and a screw speed of 35rpm. Water at 5°C flows through the cooling jacket 12 of the barrel and water at 20°C flows through the channels 19 and 21 of the screw. As the screw rotates, the solid chocolate advances at a rate of 280kg/hr and is extruded through the die 16 with a configuration conforming to that of the die and has a temporary flexibility which lasts for about 1 hour.
THE CLAIMS DEFINING THE INVENTION ARE AS FOLLOWS:

1. A process for the continuous extrusion of chocolate or a fat-containing confectionery material using a screw extruder which comprises feeding the chocolate or fat-containing confectionery material into the screw extruder and generating a pressure to force the chocolate or fat-containing confectionery material in a substantially solid or semi-solid nonpourable form upstream of a flow constriction whereby the temperature of the screw, the temperature of the barrel wall, the screw speed, pressure, contraction ratio and extrusion rate are such that the chocolate or fat-containing confectionery material is extruded in a substantially solid or semi-solid nonpourable form to produce an axially homogeneous extruded product having a cross section that is of substantially the same profile as the die exit of the extruder, which is capable of retaining its shape and which has a temporary flexibility or plasticity enabling it to be physically manipulated, cut or plastically deformed before losing its flexibility or plasticity.

2. A process according to claim 1 wherein the temperature of the screw is controlled by a fluid at the appropriate temperature flowing through the interior of the screw.

3. A process according to claim 2 wherein the fluid enters at the upstream end and flows to the downstream end through one or more longitudinal channels and returns through one or more longitudinal channels to the upstream end where it exits.

4. A process according to claim 1 wherein the temperature of the barrel wall is controlled by a fluid at the appropriate temperature flowing through a cooling jacket surrounding the wall of the barrel.
5. A process according to claim 1 wherein the temperature of the screw is from 10° to 35°C.

6. A process according to claim 1 wherein the temperature of the barrel wall is from -50° to +20°C.

7. A process according to claim 1 wherein the temperature of the barrel wall is lower than the incoming chocolate or fat-containing confectionery material.

8. A process according to claim 1 wherein the temperature of the screw is greater than the temperature of the barrel by from 5° to 50°C.

9. A process according to claim 1 wherein the root diameter of the screw increases from the upstream to the downstream end while the pitch remains constant.

10. A process according to claim 1 wherein the pitch of the screw decreases from the upstream to the downstream end while the root diameter remains constant.

11. A process according to claim 1 wherein the pitch of the screw decreases from the upstream to the downstream end and the root diameter of the screw increases from the upstream to the downstream end.

12. A process according to claim 1 wherein the compression ratio of the screw is from 1:1 to 5:1.

13. A process according to claim 1 wherein the ratio of length to diameter of the screw is from 5:1 to 30:1.

14. A process according to claim 1 wherein the diameter of the screw is from 20 to 500mm.
15. A process according to claim 1 wherein the screw speed is from 1 to 500rpm.

16. A process according to claim 1 wherein the barrel wall is roughened.

17. A process according to claim 1 wherein the throughput of the chocolate or a fat-containing confectionery material is from 1 to 5000kg/hr.

18. A process according to claim 1 wherein the chocolate or fat-containing confectionery material may be co-extruded with another chocolate or fat-based confectionery material or another food material.

19. A process according to claim 18 wherein the other food material is ice cream, sorbet, yoghurt, mousse, fondant, praline, marshmallow, nougat, jelly or any other food material.

20. A process according to claim 1 wherein a twin screw extruder is used.

21. An extruded fat-containing confectionery material obtainable by a process according to any of the preceding claims.

22. A process for the continuous extrusion of chocolate or a fat-containing confectionery material, substantially as herein described with reference to any one of the Examples.

DATED This 24th Day of March 1997.

SOCIETE DES PRODUITS NESTLE S.A.

Attorney: IAN ERNST
Fellow Institute of Patent Attorneys of Aum of SHELSTON WATERS
ABSTRACT

A process for the continuous extrusion of chocolate or a fat-containing confectionery material using a screw extruder which comprises feeding the chocolate or fat-containing confectionery material into the screw extruder and generating a pressure to force the chocolate or fat-containing confectionery material in a substantially solid or semi-solid nonpourable form upstream of a flow constriction whereby the temperature of the screw, the temperature of the barrel wall, the screw speed, pressure, contraction ratio and extrusion rate are such that the chocolate or fat-containing confectionery material is extruded in a substantially solid or semi-solid nonpourable form to produce an axially homogeneous extruded product having a cross section that is of substantially the same profile as the die exit of the extruder, which is capable of retaining its shape and which has a temporary flexibility or plasticity enabling it to be physically manipulated, cut or plastically deformed before losing its flexibility or plasticity.
END